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**Julie LaPoint**  
**Chief Compliance Officer**  
**Equities First Holdings, LLC**

Julie joined Equities First Holdings, LLC, in 2006, working closely with founder Al Christy, and helping to grow the organization to its position as full-service, private, nonpurpose securities lender.

As Chief Compliance Officer, Julie is responsible for overseeing and managing compliance issues and regulatory requirements. Her experience as an accountant, title examiner and abstractor, combined with her meticulous attention to detail make Julie exceptionally suited for the requirements of this demanding position.

Prior to Equities First Holdings, Julie co-owned a family business specializing in plumbing and electrical services for more than 15 years. As vice president, she grew sales to over \$5 million, while cutting operating costs by ten percent.

Equities First Holdings, LLC is a full-service, private, nonpurpose lender that specializes in securities based lending to investors in need of prompt funding.

Equities First Holdings provides terms based on its evaluation of the risk and future performance associated with the stocks, bonds or U.S. Treasuries to be pledged as collateral to maximize and maintain complete, yet proprietary flexibility of the equity-loan process.

Equities First Holdings has executed successful securities based transactions involving the American Stock Exchange, NASDAQ National Stock Market, NASDAQ Small Cap Stock Market, New York Stock Exchange, Over-the-Counter Bulletin Board and several foreign exchanges.